Encounter Data Submission and Processing Report Resource Guides

Risk Adjustment Processing System (RAPS) – Transaction Error Report

Report Description

The RAPS Transaction Error Report lists only those 'CCC' records that contain errors, causing one or more diagnosis clusters to be rejected. The RAPS Transaction Error Report contains the same information as the RAPS Return file but is typically used by organizations that employ a non-automated update process when maintaining their diagnosis files. To use this report, an individual at the health plan downloads the report, prints it, and then manually updates the diagnosis records to indicate which diagnoses were rejected.

This report identifies reasons for rejection or provides informational messages using a series of edit codes:
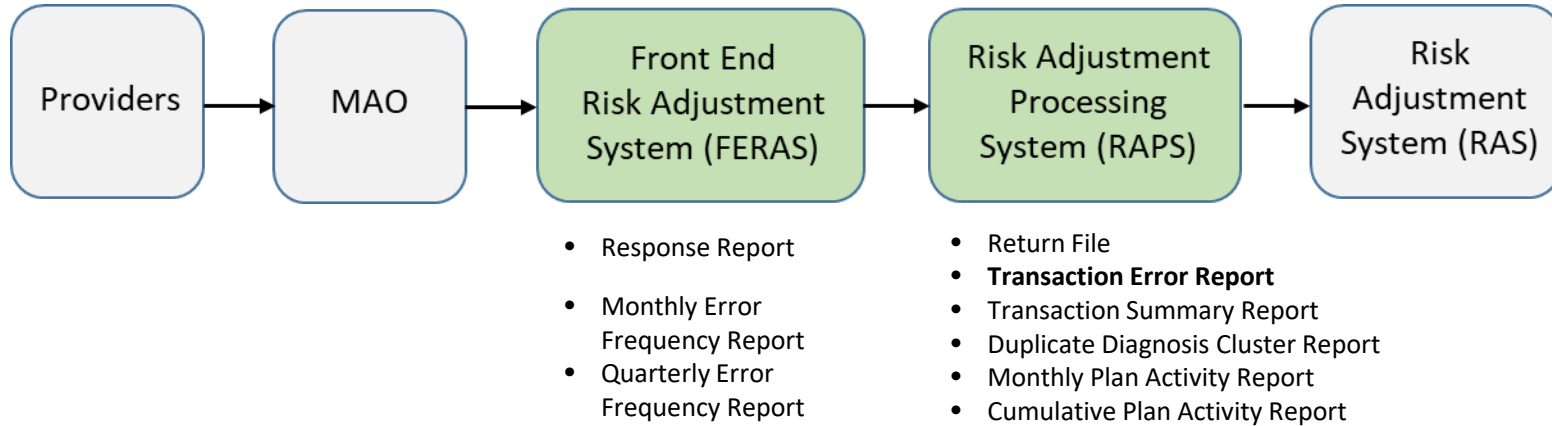
- 300 – 349   Series are for record-level errors. The record was bypassed and all editing was discontinued. No diagnosis clusters from this record were stored.
- 350 – 399   Series are for record-level errors. All possible edits were performed, but no diagnosis clusters from this record were stored.
- 400 – 489   Series are for Diagnosis cluster errors. All possible diagnosis edits were performed, but the diagnosis cluster is not stored; checks performed on the first and last CCC records
- 490 – 499   Series are for Diagnosis delete error; diagnosis was not deleted.
- 500 – 599   Series generate informational messages. All edits were performed; diagnosis cluster was stored unless some other error is noted.

This report is best viewed with a text editor.

Risk Adjustment Processing System (RAPS) – Transaction Error Report

Report Generation

Providers → MAO → Front End Risk Adjustment System (FERAS) → Risk Adjustment Processing System (RAPS) → Risk Adjustment System (RAS)

FERAS reports:
- Response Report
- Monthly Error Frequency Report
- Quarterly Error Frequency Report

RAPS reports:
- Return File
- **Transaction Error Report**
- Transaction Summary Report
- Duplicate Diagnosis Cluster Report
- Monthly Plan Activity Report
- Cumulative Plan Activity Report

Risk Adjustment Processing System (RAPS) – Transaction Error Report

Report Screenshot

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(1) REPORT    : RAPS002  **ICD10**        (2) RISK ADJUSTMENT PROCESSING SYSTEM                    (3)  PAGE:        1
(4) RUN DATE : 20190416                        TRANSACTION ERROR REPORT                 (5) TRANS DATE: 20190415

(6) SUBMITTER ID   XXXXXX  (7) FILE ID: XXXXXXXXXX  (8) PLAN ID: XXXXX  (9)  BATCH NUMBER: 0000001


(10)      (11)      (12)           (13)       (14) (15)       (16)(17) (18)        (19)       (20)(21)      (22) (23) (24) (25) (26)
SEQ       SEQ   PATIENT CONTROL    BENE       BENE           DOB PRVD FROM        THRU       DEL DGNS      DGNS DGNS MAEA MAEA CORRECTED
          ERR   ID                 ID         ERR  DOB       ERR TYPE DATE        DATE       IND CODE      ERR1 ERR2 CD   ERR  MBI


0000329                   XXXXXXXXXXX                            02  20180130 20180202      E45851 450              A
          XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
                                    ************  END OF FILE *******
```

Edit Codes Relevant to this Report

The edit codes appearing in the RAPS Transaction Error Report are available through the RAPS Edit Code Lookup Tool.

Risk Adjustment Processing System (RAPS) – Transaction Error Report

File Layout

Field No.	Field Name	Field Description
1	Report Name	Name of the report as it appears in the submitter's mailbox
2	Report Full Name	Full name of the report.
3	Page Number	Page number of the report.
4	Report Run Date	Date CMS generated the report (CCYYMMDD).
5	MAO Transmit Date	Date the submitter created the transaction.
6	Submitter ID	Report is grouped by submitter identification number. A submitter may submit for more than one organization (H-number).
7	File ID	The 10-character alphanumeric field identifying the specific file submitted.
8	Plan Number	H-number assigned by CMS; a different report is generated for each MA organization (H-number).
9	Batch ID	The 7-digit batch identification number.
10	Sequence Number	Detail-level record where the error occurred.
11	Sequence Number Error Code	The 3-digit error code associated with the sequence number.
12	MAO Patient Control Number	Patient control number assigned by the MA organization, if any.
13	Beneficiary Identifier	The identifier of the beneficiary (BENE ID); it can be the HIC, RRB or MBI. Up to 12-digit (alpha-numeric).

Risk Adjustment Processing System (RAPS) – Transaction Error Report

File Layout (continued)

Field No.	Field Name	Field Description
14	Beneficiary Identifier Error Code	The 3-digit error code associated with the BENE ID field.
15	Date of Birth	Patient's date of birth (CCYYMMDD format).
16	Date of Birth Error Code	The 3-digit error code associated with the patient's date of birth.
17	Provider Type	The 2-digit code identifying the provider type (01, 02, 10, or 20).
18	Service From Date	Date of admission (inpatient) or date of treatment (outpatient facility or physician).
19	Service Through Date	Date of discharge (inpatient) or date of treatment (outpatient facility or physician).
20	Delete Indicator	The 1-character place holder identifies diagnosis clusters that will be or are deleted. This field is populated with a "D" if the cluster was deleted. If no deletion has occurred, the space will be blank.
21	Diagnosis Code	The 7-character ICD-10-CM diagnosis code.
22	Diagnosis Code Error 1	Error code associated with submitted diagnosis code, if any.
23	Diagnosis Code Error 2	Error code associated with submitted diagnosis code, if any.
24	MA Enrollee Risk Assessment Relevance Code	A code classifying the relevance of a risk assessment to the diagnosis provided based on the setting in which the diagnosis occurred.
25	MA Enrollee Risk Assessment Relevance Code Error Code	The 3-digit error code associated with the MA Enrollee Risk Assessment Relevance Code
26	Corrected MBI	If an error code indicates there is a corrected MBI number, it is listed here.

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Accessing the Report

Data Transfer Protocol	Mailbox Processing File Names of Reports
Connecting directly with CMS (Supported by the MAPD Help Desk: (mapdhelp@cms.hhs.gov))*	RPT.RAPS_ERRORRPT_SSSSSS
Secure File Transfer Protocol (SFTP) (Supported by Customer Service Support Center (CSSC): (csscoperations@palmettogba.com))	<Submitter ID>. CCYYMMDD.THHMMSS.nnnnnn.s.RAPS_ERROR_RPT.RPT
Connect:Direct (Supported by Customer Service Support Center (CSSC): (csscoperations@palmettogba.com))	Submitter defined

 * MAOs and other entities with fewer than 100,000 enrollees can use this option to connect directly to CMS

Report Resources

Medicare Advantage & Part D Communications Handbook

March 2017 User Group Webinar - Report description

RAPS Edit Code Lookup Tool

2013 Risk Adjustment 101 Participant Guide - 2013 National Technical Assistance